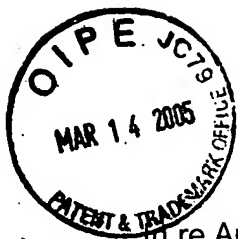


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Robert L. Smith, et al.

Appln. No.: 10/706,979

Filed: November 14, 2003

For: DUAL FLOW CONVECTION
OVEN

Attorney Docket No: 12361-223

Examiner:

Art Unit: 3743

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

U.S. Patents

No.	Date of Publication	Patentee/Applicant/Assignee
1,717,115	June 1929	McCann
2,039,429	May 1936	Lydon
2,392,113	January 1946	Anderson
2,549,208	April 1951	Kice, Jr.
2,803,398	August 1957	Sprouse
3,080,105	March 1963	Merz
3,118,436	January 1964	Keating
3,148,674	September 1964	Boardman et al.
3,324,844	June 1967	Huffman
3,463,138	August 1969	Lotter et al.
3,587,557	June 1971	Henderson et al.
3,698,377	October 1972	Smith
3,735,091	May 1973	Baker
4,036,562	July 1977	Barnes
4,108,139	August 1978	Gilliom et al.
4,184,945	January 1980	Morgan et al.
4,329,118	May 1982	Ranz
4,357,522	November 1982	Husslein et al.
4,395,233	July 25, 1983	Smith et al.
4,418,261	November 1983	Jailor et al.
4,430,541	February 1984	Day, Jr.
4,484,561	November 1984	Baggott et al.
4,498,453	February 1985	Ueda

4,516,012	May 7, 1985	Smith et al.
4,627,409	December 1986	Kagomoto
4,722,683	February 1988	Royer
4,802,459	February 1989	McFadden et al.
4,926,837	May 1990	Parker et al.
4,928,663	May 29, 1990	Nevin et al.
5,309,981	May 1994	Binder
5,361,749	November 1994	Smith et al.
5,406,932	April 1995	Joseph et al.
5,497,760	March 12, 1996	Alden et al
5,674,425	October 1997	Hong
5,918,589	July 1999	Valle et al.
6,344,637	February 2002	Lee et al.
6,723,970	April 20, 2004	Whipple, Jr.

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Munk 10, 2005

Date

David W. Okey

David W. Okey, Esq. (Reg. No. 42,959)



10-1449	SERIAL NO. 10/706,979	CASE NO. 12361-223
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE 11-14-03	GROUP ART UNIT 3743
(use several sheets if necessary)		APPLICANT(S):

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	1,717,115	June 1929	McCann		
	A2	2,039,429	May 1936	Lydon		
	A3	2,392,113	January 1946	Anderson		
	A4	2,549,208	April 1951	Kice, Jr.		
	A5	2,803,398	August 1957	Sprouse		
	A6	3,080,105	March 1963	Merz		
	A7	3,118,436	January 1964	Keating		
	A8	3,148,674	September 1964	Boardman et al.		
	A9	3,324,844	June 1967	Huffman		
	A10	3,463,138	August 1969	Lotter et al.		
	A11	3,587,557	June 1971	Henderson et al.		
	A12	3,698,377	October 1972	Smith		
	A13	3,735,091	May 1973	Baker		
	A14	4,036,562	July 1977	Barnes		
	A15	4,108,139	August 1978	Gilliom et al.		
	A16	4,184,945 A	January 1980	Morgan et al.		
	A17	4,329,118	May 1982	Ranz		
	A18	4,357,522	November 1982	Husslein et al.		
	A19	4,395,233	July 25, 1983	Smith et al.		
	A20	4,418,261	November 1983	Jailor et al.		
	A21	4,430,541 A	February 1984	Day, Jr.		
	A22	4,484,561	November 1984	Baggott et al.		
	A23	4,498,453	February 1985	Ueda		
	A24	4,516,012	May 7, 1985	Smith et al.		
	A25	4,627,409	December 1986	Kagomoto		
	A26	4,722,683	February 1988	Royer		
	A27	4,802,459 A	February 1989	McFadden et al.		
	A28	4,926,837	May 1990	Parker et al.		
	A29	4,928,663	May 29, 1990	Nevin et al.		
	A30	5,309,981	May 1994	Binder		
	A31	5,361,749	November 1994	Smith et al.		
	A32	5,406,932 A	April 1995	Joseph et al.		
	A33	5,497,760	March 12, 1996	Alden et al		
	A34	5,674,425 A	October 1997	Hong		
	A35	5,918,589 A	July 1999	Valle et al.		
	A36	6,344,637 B2	February 2002	Lee et al.		
	A37	6,723,970 B1	April 20, 2004	Whipple, Jr.		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450/Alexandria, VA 22313-1450, on the below date:

Date: March 10, 2005 Name: David W. Okey

Signature:

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Robert L. Smith et al.

Appln. No.: 10/706,979

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Attorney Docket No: 12361-223

Examiner:

Art Unit: 3743

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Information Disclosure Statement; PTO-1449 (1 sheet)
☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

March 10, 2005
Date

Respectfully submitted,

David W. Okey, Esq. (Reg. No. 42,959)